

(last time: subspaces, lin. combinations, lin. (in)dependence, basis)

Session 3  
Sep. 12, 2018

$E$  basis ( $\Leftrightarrow$  minimal generating set)  $\Leftrightarrow E$  max. lin. indep. ( $\Leftrightarrow$  every vector can be written as unique lin. comb.)

Ex.: •  $V = \mathbb{F}^n$  (e.g.,  $\mathbb{R}^n, \mathbb{C}^n$ ) with componentwise addition and scalar multiplication  
 ↳ as vector space over  $\mathbb{F}$

one basis is  $E = \{e_1 = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, e_2 = \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix}, \dots, e_n = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{pmatrix}\}$ , the

canonical or standard basis of  $\mathbb{F}^n$

$$\forall v = \sum_{i=1}^n c_i e_i$$

•  $V = \text{Mat}_{m \times n}(\mathbb{F})$ , one basis is  $E = \{e_{ij}, i=1, \dots, m, j=1, \dots, n\}$

$$e_{ij} = \begin{pmatrix} 0 & & \overset{i}{\underset{\diagdown}{|}} & 0 \\ & \ddots & & \\ & & \overset{j}{\underset{\diagup}{|}} & 0 \\ 0 & & & 0 \end{pmatrix}_j, \quad V \ni M = \sum_{i=1}^m \sum_{j=1}^n m_{ij} e_{ij} \in F \in V$$

•  $V = \mathbb{F}(S)$ , the space of fcts. from  $S \rightarrow \mathbb{F}$ , say  $|S|=n$   
 (S has n elements)

one basis is  $E = \{s_s, s \in S\}$ , where  $s_s(x) = \begin{cases} 1 & \text{for } x=s \\ 0 & \text{for } x \neq s \end{cases}$

(if  $S = \{1, \dots, n\}$ , then  $s_s(x) = \delta_{sx}$ , the Kronecker delta)

$$V \ni f = \sum_{s \in S} c_s s_s, \text{ so } f(x) = c_x$$

- $V = \text{Pol}(F) = F[x]$ , space of polynomials with coefficients in  $F$ , let's say  $F = \mathbb{R}$  or  $\mathbb{C}$ .

a basis is  $E = \{1, x, x^2, x^3, \dots\}$

clearly this is generating. Is it also minimal?

Is  $E \setminus \{x^k\}$  still generating?

If yes,  $\exists c_{i_0}, c_{i_1}, \dots, c_{i_n} \in F$ , with  $\{i_0, \dots, i_n\} \subset \mathbb{N}$ ,  $i_j \neq k \forall j=0, \dots, n$

$$\text{s.t. } x^k = \sum_{j=0}^n c_{i_j} x^{i_j} \Rightarrow f(x) = \sum_{j=0}^n c_{i_j} x^{i_j} - x^k = 0 \quad \forall x \in F$$

$\Rightarrow$  factorize or polynomial division:  $f(x) = (x - x_0)g(x)$  for any  $x \in F$

repeat for all  $x_0 \in F \Rightarrow$  contradiction to finite degree.

Def.:  $V$  is called finite dimensional if it has a finite basis. If not, it is called infinite dimensional.

To def. dimension in a meaningful way, we need:

Thm.: If  $V$  is finite dimensional, then the number of elements in a basis, does not depend on the basis.

Def.: If  $V$  has a basis with  $n$  elements, then  $n$  is called the dimension of  $V$ ,  
 i.e.,  $\dim V = n$  (or  $\dim_F V = n$ ).

Proof of this..: Let  $\{e_1, \dots, e_n\}$  and  $\{e'_1, \dots, e'_m\}$  be bases with  $m \geq n$ .

$$e'_1 = a_{11}e_1 + a_{12}e_2 + \dots + a_{1n}e_n$$

$$\vdots \quad \vdots$$

$$e'_m = a_{m1}e_1 + a_{m2}e_2 + \dots + a_{mn}e_n$$

$$e_1 = b_{11}e'_1 + \dots + b_{1m}e'_m$$

$$\vdots \quad \vdots$$

$$e_n = b_{n1}e'_1 + \dots + b_{nm}e'_m$$

$$\text{or with } A = \begin{pmatrix} e_1 \\ \vdots \\ e_n \end{pmatrix}, \quad e' = \begin{pmatrix} e'_1 \\ \vdots \\ e'_m \end{pmatrix}$$

$$A = \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \dots & a_{mn} \end{pmatrix}$$

$m \times n$

$$B = \begin{pmatrix} b_{11} & \dots & b_{1m} \\ \vdots & \ddots & \vdots \\ b_{n1} & \dots & b_{nm} \end{pmatrix}$$

$n \times m$

$$e' = Ae, \quad e = Be' \implies e = Be' = BAe$$

$$\implies e' = Ae = ABe'$$

$$\Rightarrow BA = E_n = \underbrace{\begin{pmatrix} 1 & & \\ & \ddots & 0 \\ 0 & & 1 \end{pmatrix}}_{n \times n}, AB = E_m = \underbrace{\begin{pmatrix} 1 & & \\ & \ddots & 0 \\ 0 & & 1 \end{pmatrix}}_{m \times m}$$

now we could argue with rank of matrices

$$\text{rank } A \leq n, \text{rank } B \leq n \Rightarrow \text{rank } AB \leq n, \text{rank } BA \leq n$$

but  $AB = E_m$ , which has rank  $m > n$ .

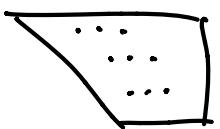
alternatively: argue with uniqueness of 0:

$$0 = \sum_{i=1}^m c_i e'_i = \sum_{i=1}^m c_i \sum_{j=1}^n a_{ij} e_j = \sum_{j=1}^n \left( \sum_{i=1}^m c_i a_{ij} \right) e_j$$

= 0 (uniqueness of 0 in  $\mathbb{C}$ )

$\sum_{i=1}^m c_i a_{ij} = 0$  for  $j=1, \dots, n$  is a system of  $n$  eq.s for  $m$  unknowns  $c_1, \dots, c_m$ , with  $m > n$ . But this always has a non-zero solution.  $\square$

can be seen, e.g., by performing Gaussian elimination



Ex.:  $\dim F' = n$

- $\dim F(S) = |S|$ , if  $S$  is finite

- $\dim_{\mathbb{C}} \mathbb{C} = 1$ ,  $\dim_{\mathbb{R}} \mathbb{C} = 2$  ( $\dim$  can depend on field)

note:  $\mathbb{R}$  as vector space over  $\mathbb{Q}$  is infinite dim. (HW)

$\mathbb{R}$  over  $\mathbb{Q}$  actually has a basis, called Hamel basis, which is uncountable, and not explicitly given.

↪ infinite dim. vector spaces are more interesting with topologies  
(e.g., Banach space, Hilbert space)

But:

Thm.: Every vector space has a basis.

Proof requires Zorn's lemma. Next time...