

### 3.2 Submanifolds

recall:  $M$  smooth  $m$ -manifold with atlas  $\mathcal{A}$ ,  $U \subset M$  open

$$\Rightarrow \text{atlas } \mathcal{A}_U = \left\{ (V \cap U, \varphi|_{V \cap U}) : (V, \varphi) \in \mathcal{A} \right\}$$

$\Rightarrow U$  is also a smooth  $m$ -manifold, called **open submanifold** of  $M$

we want to consider more general submanifolds, e.g., donut as submanifold of  $\mathbb{R}^3$

note: • identify  $\mathbb{R}^k$  with  $\mathbb{R}^n$ ,  $k \leq n$ :  $\left\{ (x_1^1, \dots, x_k^1, x^{k+1}, \dots, x^n) : x^{k+1} = \dots = x^n = 0 \right\} \subset \mathbb{R}^n$

• a  $k$ -slice of open  $U \subset \mathbb{R}^n$  is  $\left\{ (x_1^1, \dots, x_k^1, x^{k+1}, \dots, x^n) \in U : x^{k+1} = c^{k+1}, \dots, x^n = c^n \right\}$

Def.: Let  $N^n$  be a smooth manifold,  $M \subset N$ .  $M$  is called **embedded submanifold** of dimension  $m \leq n$  if  $\forall p \in M$  there is a coordinate chart  $(V, \psi)$  of  $N$ ,  $p \in V$ ,  $\psi(p) = 0$ , s.t.

$$\psi(M \cap V) = \underbrace{\left\{ (x_1^1, \dots, x_m^1, x^{m+1}, \dots, x^n) \in \psi(V) : x^{m+1} = \dots = x^n = 0 \right\}}_{m\text{-slice of } \psi(V) \subset \mathbb{R}^n}$$



note: •  $M$  is indeed a manifold (with the subspace topology)

• one can show that inclusion map  $i: M \hookrightarrow N$  is an embedding (hence the name)

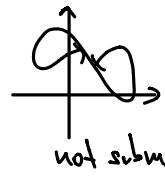
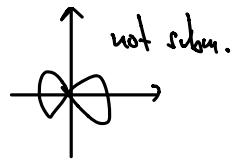
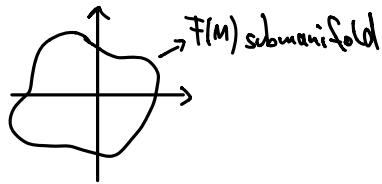
Next: how to characterize embedded submanifolds?

A) images of certain immersions

B) level sets  $F^{-1}(\{q\}) \subset M$  for  $F: M \rightarrow N, q \in N$

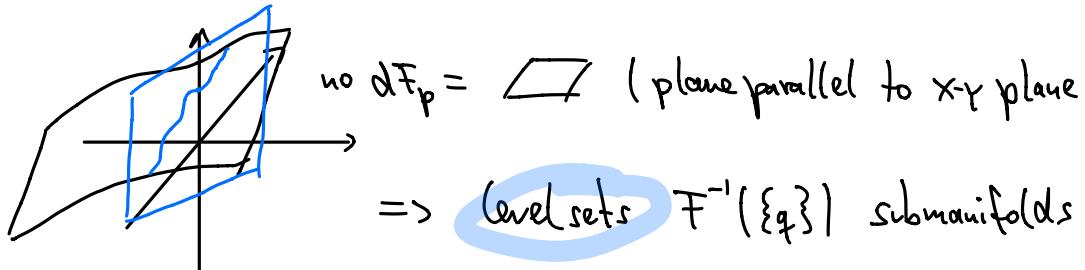
recall:  $F: M \rightarrow N$  smooth

• (smooth) immersion:  $dF_p$  injective  $\forall p$



$\Rightarrow F(M)$  submanifold under some conditions

• (smooth) submersion:  $dF_p$  surjective  $\forall p$



$\hookrightarrow$  should also be true if  $F$  is not a submersion,

but  $dF_p$  surjective  $\forall p \in F^{-1}\{q\}$ )

A)

Proposition: If  $F: M \rightarrow N$  is an embedding, then  $F(M)$  is an embedded submanifold of  $N$ , and  $F: M \rightarrow F(M)$  a diffeomorphism.

Proof: consider  $q = F(p)$ , centered charts  $(U, \varphi)$  at  $p$ ,  $(V, \psi)$  at  $q$  s.t.  $F(U) \subset V$

Rank-thm. for embedding  $F \Rightarrow \psi \circ F \circ \varphi^{-1}(x^1, \dots, x^n) = (x^1, \dots, x^n, 0, \dots, 0)$

now:  $F(U) \subset F(M)$  open  $\Rightarrow \exists$  neighborhood  $W \ni q$  s.t.  $F(U) = F(M) \cap W$

take  $W \subset V \Rightarrow \psi \circ F|_U = \psi(F(M) \cap W) = n$ -slice of  $\psi(W)$ .

Diffeomorphism: basically clear from def.:  $F^{-1}$  smooth since  $F$  immersion

□

Proposition: If  $F: M \rightarrow N$  is a smooth injective immersion and  $M$  compact, then  $F(M)$  is an embedded submanifold.

Proof:  $M$  compact,  $N$  Hausdorff  $\Rightarrow F: M \rightarrow N$  maps open sets into open sets  $\square$

B)

Proposition: If  $F: M \rightarrow N$  be smooth with constant rank  $r$ ,  $q \in N$ , then  $F^{-1}(\{q\})$  is an embedded submanifold of  $M$  of dimension  $\dim M - r$ .

Proof: Let  $p \in F^{-1}(q)$ , choose centered charts  $(U, \varphi)$  and  $(V, \psi)$  containing  $p$  and  $q$

$$\begin{aligned} \text{Rank Thm.} &\Rightarrow \psi \circ F \circ \varphi(x^1, \dots, x^r, x^{r+1}, \dots, x^n) = (x^1, \dots, x^r, 0, \dots, 0) \\ &\Rightarrow F^{-1}(q) \cap U = \{(0, \dots, 0, x^{r+1}, \dots, x^n)\} \Rightarrow n-r \text{ slice} \end{aligned} \quad \square$$

Note: in particular:  $F$  submersion  $\Rightarrow F^{-1}(\{q\})$  embedded submanifold

Next: need only check surjectivity of  $dF_p$  for  $p \in F^{-1}(\{q\})$